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Name of Person Making the Deposit Jacquie Vo

Signature of the Person Making the Deposit:

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Ryuichi Iwamura

Confirmation No.:

4728

Serial No.:

09/972,371

Art Unit:

2132

Filed:

October 5, 2001

Examiner:

Lanier, Benjamin

E.

For:

METHOD AND SYSTEM FOR

SECURE DIGITAL DECODER

WITH SECURE KEY DISTRIBUTION

Attorney Docket No.: SONY-50R4813

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1.

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In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

i to the	examination of the approximation
$\boxtimes$	Enclosures accompanying this Information Disclosure Statement are:
la.	A list of all patents, publications, applications, or other information submitted for consideration by the office.
1b.	A legible copy of:
	Each foreign patent;
	Each publication or that portion which caused it to be listed on the PTO-1449;
	For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or portion of the application which caused it to be listed on the PTO-1449 including any claims directed to that portion;
	all other information or portion which caused it to be listed on the PTO-1449.
lc.	An English language copy of search report(s) from a counterpart foreign application or PCT International Search Report.

	ld.	abstracts of the non-English language publications.			
2.		This Information Disclosure Statement is filed under 37 C.F.R. §1.97(b):			
	2a.	Within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);			
	2b.	Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;			
	2c.	☐ Before the mailing of the first Office action on the merits;			
		Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.			
3.		This Information Disclosure Statement is filed under 37 C.F.R. §1.97(c) after the period specified in 37 C.F.R §1.97(b), but before the mailing date of any of a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311 or an action that otherwise closes prosecution in the application.			
		(Check either Item 3a or 3b)			
	3a.	The Certification Statement in Item 5 below is applicable. Accordingly, no fee is required.			
	3b.	☐ The \$180.00 fee set forth in 37 C.F.R. §1.17(p) in accordance with 37 C.F.R. §1.97(c) is:			
		enclosed to be charged to Wagner, Murabito & Hao Deposit Account No. 23-0085 (order no. ).			
		(Item 3b to be checked if any reference known for more than 3 months)			
4.		This Information Disclosure Statement is filed under 37 C.F.R. §1.97(d) after the period specified in 37 C.F.R. §1.97(c), but on or before the date of payment of the issue fee.			
		(Check either Item 4a or 4b)			
	4a.	☐ The Certification Statement in Item 5 below is applicable.			
	4.b	The \$180.00 fee set forth in 37 C.F.R. §1.17(p) is: enclosed to be charged to Wagner, Murabito & Hao Deposit Account No. 23-0085 (order no. ).			
5.	$\boxtimes$	Certification Statement (applicable if Item 3a or Item 4a is checked)			
		(Check either Item 5a, 5b or 5c)			
	5a.	In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was first cited in a			

		communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
	5b.	Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not <b>received</b> by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this information disclosure statement.
	5c.	Pursuant to 37 C.F.R. §1.704(d), each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not <b>received</b> by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this information disclosure statement.
6.		Copies of each cited U.S. patent and each U.S. patent application publication are not enclosed pursuant to the USPTO OG Notice dated 05 August 2003 waiving the requirement under 37 C.F.R. 1.98(a)(2)(i) for U.S. patent applications filed after June 30, 2003.
7.		This application is a continuation application under 37 C.F.R. §1.53(b) or (d).
		(Check appropriate Items 7a, 7b and/or 7c)
	7a.	☐ A Petition to Withdraw from issue under 37 C.F.R. §1.313(b)(5) is concurrently filed herewith.
	7b.	Copies of publications listed on Form PTO-1449 from prior application Serial No., filed on , of which this application claims priority under 35 U.S.C. §120, are not being submitted pursuant to 37 C.F.R. §1.98(d).
	7c.	Copies of the publications listed on Form PTO-1449 were not previously cited in prior application Serial No. , filed on , and are provided herewith.
8.		This is a Supplemental Information Disclosure Statement. (Check Item 8a)
	8a.	This Supplemental Information Disclosure Statement under 37 C.F.R. §1.97(f) supplements the Information Disclosure Statement filed on ******. A bona fide attempt was made to comply with 37 C.F.R. §1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental Information Disclosure Statement can be considered as if properly filed on *********.
9.		In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:
		(Check Item 9a, 9b, or 9c)
	9a.	satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office.

9b.	set forth in the applicat	ion.	
9c.	enclosed as an attachm	ent hereto.	
	overpayment for this Information	mation Disclosure Statement and/or Petition to	Wagner,
	be, material to patentability search report of a foreign c	nor a representation that a search has been mounterpart application or PCT International Section 2015	ade (other than a
		Respectfully submitted,	
		Charles and the second	35,295
		Anthony Murabito WAGNER, MURABITO & HAO Two North Mark Street, Third Floor San Jose, CA 95113 (408) 938-9060	(Reg. No.)
		9c.  enclosed as an attachm  The Commissioner is authoroverpayment for this Inform Murabito & Hao Deposit A  No admission is made that be, material to patentability search report of a foreign commission.	9c.

<b>ANF</b>	ORMATIO	ON DISCLO	OSURE	Application Number	09/972,371		
				Filing Date	10/05/2001		
ADEMART	CII	7111011		First Named Inventor	Ryuichi Iwamura		
-	PT	O-1449		Art Unit	2132		
				Examiner Name	Lanier, Benjamin E.		
Sheet	1	of	1	Attorney Docket Number	SONY-50R4813		
	<u> </u>						

Complete If Known

			. U.S. PATEN	T DOCUMENTS					
Examiner Initials	Cite No.	Document Number  Number - Kind Code <sup>1</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date if Appropriate		
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Examiner	Cite	Document	Publication Date	Name of Patentee or	Class		Translation		
Initials	No.	Country Code <sup>2</sup> - Number <sup>3</sup> - Kind Code <sup>4</sup> (if known)	MM-DD-YYYY	Applicant of Cited  Document		Subclass	Yes	No	
	-	EP 0 471 373	02-19-1992	General Instrument Corporation					
A		EP 0 843 438	05-20-1998	Thomson Multimedia					
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				LITERATURE DOCUMENT			<del></del>		
Examiner Initials	Cite No.	Include name of the auth	or (in CAPITAL LETT n_catalog_etc.)_date_n	ERS), title of the article (when appage(s), volume-issue number(s), pu	ropriate), title blisher, city a	e of the item (bi	ook, maga where pub	izine, Hished	
Illitials	NO.	Journal, Scrial, Symposium	i, catalog, ctc.), date, p	250(0); +0.14.110 10040 11-110-1(0); F-1					
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Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>2</sup> Enter Office that issued the document, by the twoletter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 5 Applicant is to place a check mark here if English language Translation is attached.